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MAR 03 2004

OFFICIAL

Docket No. 380-149

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

MICHAEL J. SHANOR

Serial No.: 10/686,920

Group Art Unit: 1744

Filed: October 16, 2003

Via Facsimile: 703-872-9310

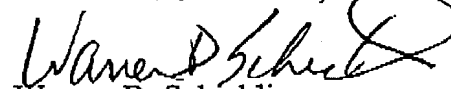
For: DIRT COLLECTION ASSEMBLY WITH  
VOLCANIC AIRFLOWLETTERCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant disclosed on February 6, 2004 via facsimile transmission to the Examiner, the information listed on the attached Information Disclosure Statement form PTO/SB/08A. However, copies of the two Japanese patent documents were inadvertently omitted from that filing. Since no Office Action has been issued in this patent application, the undersigned respectfully requests that the attached Japanese patent documents be incorporated into the previously submitted Information Disclosure Statement.

Respectfully submitted,

KING &amp; SCHICKLI, PLLC

  
Warren D. Schickli  
Registration No. 31,057

247 North Broadway  
Lexington, Kentucky 40507  
(859) 252-0889

CERTIFICATE OF FACSIMILE TRANSMISSION

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March 3, 2004 J. Williams

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03/03/04 10:51 FAX 8592520779		KING & SCHICKLI PLLC		001	
<p>KING &amp; SCHICKLI, PLLC          247 NORTH BROADWAY          LEAKINTON, KENTUCKY 40507-1088          (606) 588-0779 FAX          (606) 588-0779 FAX          INFO@KSLAW.LEV</p>					
<p>WARREN D. SCHICKLI          MICHAEL J. SHANOR          ANDREW D. DORRIS          1055 N. 10TH ST. ALEXANDRIA, VA 22314</p>					
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<p>NAME: WARREN D. SCHICKLI, ESQ.</p>					
<p>FROM: DATE: FEBRUARY 6, 2004</p>					
<p>OUR REF.: 380-149</p>					
<p>PAGES (INCL. COVER): 4</p>					
<p>REMARKS:          In re application:          MICHAEL J. SHANOR          Serial No. 10/685,920          For: DIRT COLLECTION ASSEMBLY WITH VOLVATIC AIRFLOW</p>					
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<p>I hereby certify that this INFORMATION DISCLOSURE          STATEMENT was sent via facsimile transmission to:          Fax No. 703-872-8310 c/o Technology Center 1700,          U.S. Patent and Trademark Office, Alexandria, VA 22314          on February 6, 2004.</p>					
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MAR 03 2004  
OFFICIAL

Docket No. 380-149

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

MICHAEL J. SHANOR

Serial No.: 10/686,920

Group Art Unit: 1744

Filed: October 16, 2003

Via Facsimile: 703-872-9310

For: DIRT COLLECTION ASSEMBLY WITH  
VOLCANIC AIRFLOWINFORMATION DISCLOSURE STATEMENTCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant discloses to the Examiner under 37 CFR 1.56, and 37 CFR 1.97-1.98, as revised (1135 OG 13) and effective March 16, 1992, the information listed on the attached form PTO - 1449. This information may be found to be material to this invention under the current applicable patent law and as interpreted by the US PTO Rules, as cited above. Review and consideration of the listed references/information during substantive examination of this application is respectfully requested.

Applicant specifically emphasizes that this statement, and/or the act of identifying these references, is not to be construed as an admission that all or any of the references are prior art to the specific invention disclosed and claimed.

Also, nothing in this statement is to be construed as a representation that this is the only material information to be found, or the best. It, however, is the only information known to the applicant at this time that is believed to meet the "materiality standard" of the law. If additional qualifying references or other information is discovered in the future, a prompt submission will be made to fulfill applicant's continuing duty of disclosure under 37 CFR 1.99.

Respectfully submitted,

KING & SCHICKLI, PLLC




Warren D. Schickli  
Registration No. 31,057

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Lexington, Kentucky 40507  
(859) 252-0889

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Date Feb. 6, 2004 

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031

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Substitute for form 1448A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/686,920
		Filing Date	October 16, 2003
		First Named Inventor	MICHAEL J. SHANOR
		Art Unit	1744
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	380-149	

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>3</sup> (if known)			
	1	us-	6,588,055	07/08/2003	BAIR ET AL.	
	2	us-	6,428,589	08/06/2002	BAIR ET AL.	
	3	us-	6,391,095	05/21/2002	CONRAD ET AL.	
	4	us-	6,195,835	03/06/2001	SONG ET AL.	
	5	us-	6,171,356	01/09/2001	TWERDUN	
	6	us-	5,837,129	11/17/1998	ROSS, JR. ET AL.	
	7	us-	5,653,880	08/05/1997	MOUTON	
	8	us-	5,343,592	09/06/1994	PARISE	
	9	us-	5,259,854	11/09/1993	NEWMAN	
	10	us-	5,199,963	04/06/1993	SCARP	
	11	us-	4,743,363	05/10/1988	DARROW	
	12	us-	4,287,635	09/08/1981	JACOBS	
	13	us-	3,371,471	03/05/1968	CONNORS	
		us-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)			
	1		JP 56-136642	1981	Oono et al.	
	2		JP 56-136650	1981	Oono et al.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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